

Common Application Form - Lumpsum Cum SIP Application Form (Form 1) Application No.

Distributor Code	ARN-	Sub-Distributor Code	ARN-	Internal Code for Sub-broker/ Employee		EUIN No.	
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I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

First Holder

Second Holder

Third Holder

TRANSACTION CHARGES (Please ✓ any one of the below) (Refer Instruction No. T)

☐ I am a first time investor in mutual funds (₹ 150 will be deducted)

OR

☐ I am an existing investor in mutual funds (₹ 100 will be deducted)

Applicable for transactions routed through a distributor who has 'opted in' for transaction charges.

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investors' assessment of various factors including service rendered by the distributor.

1. INVESTOR DETAILS (Please refer to the Instruction No. A, C, D, S)

Existing Folio Number /

*Date of Birth

Existing Investor may not fill in Section 4, 5 & 6.

*Mandatory for Minor

FIRST HOLDER DETAILS (please ✓) ☐ Individual ☐ Non Individual (please refer instruction D for UBO)

Name

PAN/PERN
(mandatory)PAN/PERN
Proof
enclosedKYC
Compliance

You must fill in

Mobile No.

Email ID

Status

☐ Individual (Indian National) ☐ PIO ☐ Minor (through Guardian) ☐ HUF ☐ FII / Sub-account ☐ Sole-proprietor ☐ Partnership Firm

☐ LLP ☐ Company (other than Bank/FI) ☐ Bank ☐ Financial Institution ☐ Other Body Corporate ☐ Government Body

☐ Charitable / Religious / Non-profit organisation ☐ Educational Institution ☐ Mutual Fund ☐ PF Trust ☐ Gratuity Fund ☐ NPS Trust

☐ Pension / Retirement / Superannuation Fund ☐ Private Trust ☐ Co-op. Society ☐ Society / AOP/ BOI ☐ Other (Please specify)

Note for non-individual investor: Please attach the mandatory Ultimate beneficial Ownership (UBO) Declaration Form along with the Application Form.

Residential / Tax Status

☐ Resident☐ Non-resident Repatriable (NRE)☐ Non-resident Non-repatriable (NRO)

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Country of birth Are you a resident in any country other than India for tax purposes. ☐ Yes ☐ No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency	Tax Identification Number

For Non Individual Investor, Please tick the relevant box below, even if Country of Tax Residency is India *

☐ Form W8 BEN-E / Specified declaration (Enclosed)☐ Unable to Provide [IDFC Mutual Fund will contact you in due course to confirm your FATCA Status]

*Where no box is ticked, the second statement will be taken as the default implying that the applicant/investor currently is unable to confirm FATCA status and will confirm the same in future.

ADDITIONAL KYC INFORMATION

Gross Annual Income (Rs.) (Please tick(✓))

☐ Below 1 Lacs☐ 1 Lacs - 5 Lacs☐ 5 Lacs - 10 Lacs☐ 10 Lacs - 25 Lacs☐ 25 Lacs - 1 Crore

OR

☐ 1 Crore - 5 Crore☐ 5 Crore - 10 Crore☐ above 10 CroreNet-worth (Mandatory for Non-Individuals) Rs. as on (Not older than 1 year)Occupation (please tick any one and give brief details): ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others Please specify

In case of business / profession, indicate the details (including nature of goods/ services dealt in)

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee /Whole time Directors)

☐ I am PEP☐ I am a relative / associate of PEP☐ None of these

(for definition of PEP refer instruction X)

Non-Individual Investors involved/ providing any of the mentioned services

☐ Foreign Exchange / Money Changer Services☐ Gaming/Gambling/Lottery/Casino Services☐ Money Lending / Pawning☐ None of these

IDFC MUTUAL FUND - ACKNOWLEDGMENT SLIP (To be filled in by the investor.)

Application No.

Received, subject to realisation, verification and conditions, an application for purchase of Units as mentioned in the application form.

From

Instrument No.	Dated	Amount (Rs.)	Scheme

Stamp & Signature

SECOND HOLDER DETAILS		PAN/PERN (mandatory)	PAN/PERN Proof enclosed	KYC Compliance
Name				

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Country of birth _____ Are you a resident in any country other than India for tax purposes. ☐ Yes ☐ No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency	Tax Identification Number

ADDITIONAL KYC INFORMATION

Gross Annual Income (Rs.) [Please tick(✓)] ☐ Below 1 Lacs ☐ 1 Lacs - 5 Lacs ☐ 5 Lacs - 10 Lacs ☐ 10 Lacs - 25 Lacs ☐ 25 Lacs - 1 Crore

OR ☐ 1 Crore - 5 Crore ☐ 5 Crore - 10 Crore ☐ above 10 Crore

Net-worth (Mandatory for Non-Individuals) Rs. _____ as on

D	D	M	M	Y	Y	Y	Y
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 (Not older than 1 year)

Occupation (please tick any one and give brief details): ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional

☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others _____ Please specify

In case of business / profession, indicate the details (including nature of goods/ services dealt in) _____

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee /Whole time Directors)

☐ I am PEP ☐ I am a relative / associate of PEP ☐ None of these (for definition of PEP refer instruction X)

THIRD HOLDER DETAILS		PAN/PERN (mandatory)	PAN/PERN Proof enclosed	KYC Compliance
Name				

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Country of birth _____ Are you a resident in any country other than India for tax purposes. ☐ Yes ☐ No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency	Tax Identification Number

ADDITIONAL KYC INFORMATION

Gross Annual Income (Rs.) [Please tick(✓)] ☐ Below 1 Lacs ☐ 1 Lacs - 5 Lacs ☐ 5 Lacs - 10 Lacs ☐ 10 Lacs - 25 Lacs ☐ 25 Lacs - 1 Crore

OR ☐ 1 Crore - 5 Crore ☐ 5 Crore - 10 Crore ☐ above 10 Crore

Net-worth (Mandatory for Non-Individuals) Rs. _____ as on

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

 (Not older than 1 year)

Occupation (please tick any one and give brief details): ☐ Private Sector Service ☐ Public Sector Service ☐ Government Service ☐ Business ☐ Professional

☐ Agriculturist ☐ Retired ☐ Housewife ☐ Student ☐ Others _____ Please specify

In case of business / profession, indicate the details (including nature of goods/ services dealt in) _____

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee /Whole time Directors)

☐ I am PEP ☐ I am a relative / associate of PEP ☐ None of these (for definition of PEP refer instruction X)

Guardian/POA/Proprietor		PAN/PERN (mandatory)	PAN/PERN Proof enclosed	KYC Compliance
Name				

DETAILS UNDER FATCA / FOREIGN TAX LAWS

Country of birth _____ Are you a resident in any country other than India for tax purposes. ☐ Yes ☐ No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency	Tax Identification Number

Toll free 1-800-2-666688

Available between 8.00 am to 7.00 pm on business days only.

Please note our investor service email id
investormf@idfc.com

www.idfcmf.com

Name of the Bank _____	Branch _____
Account Number <table border="1" style="display: inline-table; width: 150px; height: 20px; vertical-align: middle;"></table>	City _____
Account Type <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR <input type="checkbox"/> Others _____ (please specify)	
MICR Code <table border="1" style="display: inline-table; width: 100px; height: 20px; vertical-align: middle;"></table>	RTGS/NEFT Code <table border="1" style="display: inline-table; width: 100px; height: 20px; vertical-align: middle;"></table>

I / We understand that the instructions to the bank for Direct Credit / NEFT / ECS will be given by the Mutual Fund, and such instructions will be adequate discharge of the Mutual Fund towards redemption / dividend / refund proceeds. In case the bank does not credit my /our bank account with / without assigning any reason thereof, or if the transaction is delayed or not effected at all or credited into the wrong account for reasons of incomplete or incorrect information, I / We would not hold IDFC Mutual Fund responsible. Further the Mutual Fund reserves the right to issue a demand draft / payable at par cheque in case it is not possible to make payment by DC/NEFT/ECS.

First / Sole Applicant / Guardian / Authorised Signatory	Second Applicant	Third Applicant	POA Holder